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Substitute for form 1449A/B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	1	

Complete if Known	
Application Number	09/833,922
Filing Date	April 12, 2001
First Named Inventor	Roe et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	208797

U.S. PATENT DOCUMENTS

Examiner Initials	Doc. No.	U.S. Patent Document Application or Patent Number	Kind Code	Name of Patentee or Applicant	Date of Publication	Filing Date If Appropriate

FOREIGN PATENT DOCUMENTS

Examiner Initials	Doc. No.	Foreign Patent Document Office	Application or Patent Number	Kind Code	Name of Patentee or Applicant	Date of Publication	Translation Yes	No*+

OTHER - NON PATENT LITERATURE DOCUMENTS

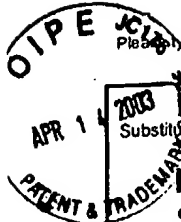| Examiner Initials	Doc. No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published.	Translation Yes	No*+
(init.)	A A	M. Burrows et al., "A Logic of Authentication" Proceedings of the Royal Society of London Series A, 426, pp. 233-271 (1989)		
(init.)	A B	S. Deering et al., "Version 6 (IPv6) Specification" RFC2460 (December 1998)		
(init.)	A C	"Secure Hash Standard," NIST, FIPS PUB 180-1, http://csrc.nist.goc/fips/fip180-1.txt. (April 1995)		
(init.)	A D	Harkins et al., "The Internet Key Exchange (IKE)," RFC2409 (November 1998)		
(init.)	A E	Hinden et al., "IP Version 6 Addressing Architecture," (March 10, 2000)		
(init.)	A F	Huitema, "Ipv6 The New Internet Protocol," Prentice Hall PTR, ISBN 0-13-850505-5 (1998)		
(init.)	A G	Johnson et al., "Mobility Support in IPv6," (April 27, 2000)		
(init.)	A H	Kent et al., "IP Authentication Header," RFC2402 (November 1998)		
(init.)	A I	Mills, "Simple Network Time Protocol (SNMP) Version 4 for IPv6 and OSI," RFC2030 (October 1996)		
(init.)	A J	Mills, "IP Mobility Support," RFC2002 (October 1996)		
(init.)	A K	Thomson et al., "IPv6 Stateless Address Autoconfiguration," RFC2462 (December 1998)		
(init.)	A L	Kent et al., "Security Architecture for the Internet Protocol," RFC2401 (November 1998)		

Examiner Signature	PRAMILA PARTHASARATHY	Date Considered	Sept 07, 2004

* A concise statement of relevance is being submitted in lieu of a translation. 37 CFR 1.98(a)(3).

+ An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of relevance under 37 CFR 1.98(a)(3).

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	1	of	2

Complete if Known	
Application Number	09/833,922
Filing Date	04/12/2001
First Named Inventor	O'Shea
Group Art Unit	2131
Examiner Name	Unassigned
Attorney Docket Number	208797

U.S. PATENT DOCUMENTS

Examiner Initials	Doc. No.	U.S. Patent Document Application or Patent Number	Kind Code	Name of Patentee or Applicant	Date of Publication	Filing Date If Appropriate ~
✓	A M	5,473,691		Menezes et al.	12/05/1995	11/05/1993
✓	A N	5,673,319		Bellare et al.	09/30/1997	02/06/1995
✓	A O	5,729,608		Janson et al.	03/17/1998	07/27/1993
✓	A P	5,778,065		Hauser et al.	07/07/1998	02/08/1996
✓	A Q	5,892,904		Atkinson et al.	04/06/1999	12/06/1996
✓	A R	6,367,012	B1	Atkinson et al.	04/02/2002	12/06/1996

OTHER - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Doc. No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published.	Translation Yes	Translation No*+
✓	A S	AURA et al., *Security of Internet Location Management*, Proc. 18th Annual Computer Security Applications Conference, IEEE Press, Nevada (December 2002)		
✓	A T	BLAZE et al., *The KeyNote Trust-Management System Version 2*, IETF Network Working Group, RFC 2704 (September 1999) pp. 1-35		
✓	A U	EASTLAKE, *Domain Name System Security Extensions*, IETF Network Working Group, RFC 2535 (March 1999) pp. 1-44		
✓	A V	ELLISON et al., *SPKI Certificate Theory*, IETF Network Working Group, RFC 2693 (September 1999) pp. 1-41		
✓	A W	FERGUSON et al., *Network Ingress Filtering: Defeating Denial of Service Attacks Which Employ IP Source Address Spoofing*, IETF Network Working Group, RFC 2827 (May 2000) pp. 1-10		
✓	A X	HOUSLEY et al., *Internet X.509 Public Key Infrastructure Certificate And Certificate Revocation List (CRL) Profile*, IETF Network Working Group, RFC 3280 (April 2002) pp. 1-66		
✓	A Y	KARN et al., *Photuris: Session-Key Management Protocol*, IETF Network Working Group, RFC 2522 (March 1999) pp. 1-75		
✓	A Z	MONTENEGRO et al. *Statistically Unique and Cryptographically Verifiable (SUCV) Identifiers and Addresses*, Proc. ISOC Symposium on Network and Distributed System Security (NDSS 2002), San Diego (February 2002) pp. 1-13		
✓	B A	MOSKOWITZ, *Host Identity Payload and Protocol*, Internet Draft <draft-ietf-moskowitz-hip-05.txt> (October 2001) (work in progress) pp. 1-28		
✓	B B	NARTEN et al., *Privacy Extensions for Stateless Address Autoconfiguration in IPv6*, IETF Network Working Group, RFC 3041 (January 2001) pp. 1-16		
✓	B C	NARTEN et al., *Neighbor Discovery for IP Version 6 (IPv6)*, IETF Network Working Group, RFC 2461 (December 1998) pp. 1-87		
✓	B D	NIKANDER, *A Scaleable Architecture for IPv6 Address Ownership*, Internet Draft <draft-nikander-ipng-pbk-addresses-00.txt> (work in progress) (March 2001) pp. 1-27		
✓	B E	OKAZAKI et al., *Securing MIPv6 Binding Updates Using Address Based Keys (ABKs)* Internet Draft <draft-okazaki-mobileip-abk-01.txt> (October 2002) (work in progress) pp 1-26		
✓	B F	ROE et al., *Authentication of Mobile IPv6 Binding Updates and Acknowledgments*, Internet-Draft <draft-roe-mobileip-updateauth-02.txt> (February 2002) (work in progress) pp. 1-22		
✓	B G	SAVOLA, *Security of IPv6 Routing Header and Home Address Options*, Internet Draft <draft-savola-ipv6-rh-ha-security-03.txt>(work in progress) (December 2002) pp. 1-17		
✓	B H	SHAMIR, *Identity-Based Cryptosystems and Signature Schemes*, Advances in Cryptology: Proc. CRYPTO 84, Volume 196 of LNCS, (1998) pp. 47-53		
✓	B I	ARKKO et al., *Securing IPv6 Neighbor and Router Discovery*, Proc. 2002 ACM Workshop on Wireless Security (WiSe) (September 2002) (ACM Press), pp. 77-86		

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Examiner Name	Unassigned
Attorney Docket Number	208797

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| Sheet | 2 | of | 2 |

	BJ	AURA, *Cryptographically Generated Addresses (CGA)*, Internet Draft <draft-aura-cga-00.txt> (February 2003) (work in progress) pp. 1-13	
	BK	O'SHEA et. al., *Child-Proof Authentication for MIPv6 (CAM)*, ACM Computer Communications Review, 31(2) (April 2001) pp.1-5	
	BL	International Telecommunication Union ITU-T Recommendation X.690, *Information Technology - ASN.1 Encoding Rules: Specification of Basic Encoding Rules (BER), Canonical Encoding Rules (CER) and Distinguished Encoding Rules (DER)* (July 2002) pp. 1-31	

Examiner Signature	PRAMILA PARTHASARATH	Date Considered	Sept. 07, 2004

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